

University of Colorado
Monthly Compliance Meeting

SEPTEMBER 6TH AND 7TH, 2011

TODAY'S AGENDA

- Jo's Announcements
- Kris' Announcements
- Back to the Basics -- Personnel
- Scouting
- How to Report A Violation
- Promotional Activities
- In the News

JO'S ANNOUNCEMENTS

- Official visits- make sure you are communicating with me when you are planning to have your OV.
- Practice Schedules- please remember to CC me on your emails/calendars. Still missing for a few sports.
- Comply and Verify- remember to continue to submit prospects for the system.
- Complimentary Admissions Request forms are due to the Ticket Office by 12pm on Friday for weekend games, or 12pm day of games for weekday.
- Game Day recruiting tent- we need your count by noon on Thursday. Please email to Jo.

HAC Announcements

- **Week 3**
- **Progress reports** from faculty will be requested Week 6
- **Class Checks**
 - Checks by sport programs
 - Do not enter classrooms
 - Do not disrupt instructor or students
- **Communicating with Faculty**
 - All communication comes from Herbst Academic Center (HAC)
 - Do not contact a faculty member to discuss the academic progress of student-athletes
 - Can respond to instructor if he/she makes first contact
- **Proctoring of Quizzes/Exams**
 - All logistics and contact with instructors conducted by HAC
 - Coaches will not proctor any assignments



BACK TO THE BASICS

PERSONNEL



Personnel

- Coaching Limitations
 - Definitions
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Personnel

- Limitation on # of coaching staff members:
- Basketball: 4
- Golf: 2
- Ski: 4
- Soccer: 3
- Tennis: 2
- Track/Field/Cross: 6
- Volleyball: 3

Personnel

- Limitation on # of coaching staff members for OFF-CAMPUS RECRUITING at any one time:
- Basketball: 3
- Golf: 2
- Ski: 4
- Soccer: 2
- Tennis: 2
- Track/Field/Cross: 4
- Volleyball: 2

Personnel

- The BATON RULE

- If the maximum number of permissible coaches are off-campus recruiting, one coach must cease recruiting in order for an additional coach to begin recruiting. The coach that stopped recruiting must return to campus (not just DIA, home, etc.) before beginning recruiting again.
- Exception-No need to return to campus provided not more than the permissible number of recruiters are off-campus recruiting each day.
 - Basketball-July
 - All other sports- June, July and August

Personnel

- Undergraduate Student-Assistant Coaches
 - Exhausted Eligibility or injured to the extent of no practice or competition.
 - At institution in which he/she most recently participated in athletics.
 - Full-time undergrad student, in first degree program.
 - No compensation beyond scholarship and team travel expenses.
 - Cannot contact or evaluate off-campus, scout opponents or perform recruiting coordination functions.

Personnel

- Graduate Assistant Coaches
 - Technically only in the sports of football.
 - “GA” is a loosely used term. A GA in any other sport must count towards the coaching limitations if participating in coaching activities.
 - Proposal to have 1 in basketball, up to 4 or 5 in football.

Personnel

- **Volunteer Coaches**

- 1 volunteer per sport, except track/field/cross can have up to 6.
- No volunteers in basketball or football.
- Cannot receive any remuneration from CU.
- No contacting or evaluating prospects.
- No scouting opponents.
- No recruiting coordination functions.
- Max 2 complimentary admissions to home competition in the coach's sport.
- Can receive complimentary meals incidental to organized team activities (pre- or post-game meals, occasional meals) and official visit meals with the PSA.

Personnel

- **Student Managers**
 - Full-time undergraduate or graduate student.
 - May participate in **limited** on-court/field activities during practice or competition on a daily basis.
 - Cannot provide instruction to SAs.
 - Cannot participate in CARA.

Personnel

- **Noncoaching staff members**
 - Director of Operations, sport specific staff members.
 - Cannot participate in ANY type of coaching activity.
 - Coaching activities include: play calling, instructing SAs, off-campus recruiting, video evaluation of PSAs.
 - Should not be filtering video tapes of PSAs to be forwarded to coaches. This is a COACHING activity.
 - Highly scrutinized position.

Personnel

- Noncoaching staff members
 - So what CAN they do??
 - Camp coordination
 - Travel coordination
 - Track Stats, on bench or sidelines
 - Recruiting correspondence (written)
 - Make/receive phone calls following NLI signing or financial deposit.
 - Attend meetings involving coaching, but not recruiting.



SCOUTING

Scouting of Opponents

- **Basketball, Football, Volleyball:**
 - Off-campus, in-person scouting is prohibited.
 - Exception for tournament in which CU is a participant. Must be same tournament at the same site.
 - Prohibition does not apply to on-campus scouting.
 - Scouting an opponent is not a permissible form of entertainment for a team. This means CU cannot pay for a team to watch a future opponent in conjunction with an away from home competition.

Scouting of Opponents

- **Basketball, Volleyball:**
 - You may purchase video for scouting purposes for POSTSEASON competition only.
 - This can come from an individual or a professional service.

Scouting of Opponents

- Sports other than Basketball, Football, Volleyball:
 - CU cannot pay for any staff members or allow boosters to pay for off-campus, in-person scouting.
 - A coaching staff member who receives any expenses for traveling with the team or while recruiting shall not scout an opponent.
 - Exception for tournament in which CU is a participant. Must be same tournament at same site.

Scouting of Opponents

- All sports

- It is permissible to pay the cost of exchanging video for scouting purposes.
- This includes the expenses of an individual traveling to pick up the video.
- It is permissible for an institution to obtain video of a future opponent's athletics contests for scouting purposes from a commercial entity that provides video recording/dubbing services, provided CU pays no fees or expenses related to obtaining the video, except for providing a blank videotape or DVD (or other medium) and paying postage costs.



HOW TO REPORT AN NCAA OR PAC-12 CONFERENCE VIOLATION

How do I Report a Violation?

- “CU-Boulder” **must diligently monitor** the conduct of its intercollegiate athletics program to promote compliance with all applicable rules and regulations of the NCAA.
- CU-Boulder **follows an established reporting procedure for reviewing and reporting potential rules violations.** In addition to this established reporting procedure, CU-Boulder has developed a **clearly-defined process to manage situations with major implications** involving campus intercollegiate athletics, including NCAA major infractions and other matters of significance.
- The process for managing NCAA violations **includes an option to the Chancellor, of utilizing a committee, external to the Department of Athletics to manage the investigation of situations with major campus implications and to advise directly to him/her. That committee is Dr. David Clough (FAR), John Sleeman, Deb Coffin, Francis Draper & depending on the issue, others as appointed by the Chancellor.**

How do I Report a Violation?

- A **secondary violation**, is one that **provides only a limited recruiting or competitive advantage and is isolated or inadvertent in nature**. These are classified as Level I and Level II, with Level I generally considered more significant than Level II. If the NCAA Committee on Infractions **determines that repeated secondary violations have occurred and that CU is not taking appropriate action to prevent such violations, a penalty appropriate for a major violation may be imposed.**
- Compliance efforts are continuous, and, although the ultimate goal is to have no violations, **a compliance program that encourages self-reporting and conducts monitoring activities *should* report several violations (we reported 20 this past year) per year.**

What is a Major Infraction?

- A major violation is one **that provides an extensive recruiting or competitive advantage**. Repeated secondary violations by CU may be identified as a major violation.
- In the history of intercollegiate athletics at CU-Boulder, the University has managed **five major** infractions cases.
- Last major reported in June of 2007—we are still under the 5-year shadow of that major infraction until June of 2012.

Initial Reporting of Potential Level I and Level II Secondary Violations

- All athletic department staff members, SAs, or CU employees with *direct involvement* in athletics are obligated to report verbally or in writing any violations or possible violations of which they are aware.
- All other individuals (e.g., CU students, PSAs, CU employees *without direct involvement* in athletics, members of the community) are encouraged to report verbally or in writing any alleged, rumored, or suspected violations.

Initial Reporting of Potential Level I and Level II Secondary Violations

A report of a violation or possible violation can be made to the following:

- if appropriate, the immediate supervisor of the person reporting;
- the Associate Director of Athletics for Compliance ("Compliance Director");
- the Director of Athletics, any Associate or Assistant Director of Athletics;
- the Faculty Athletics Representative ("FAR");
- the Chancellor;
- the Office of University Counsel.
- All individuals to whom the violation or possible violation is reported, with the exception of the Compliance Director, are obligated in turn to report the violation to the Athletics Compliance Office.

Initial Reporting of Potential Level I and Level II Secondary Violations

A report of a violation or possible violation should include the following:

- date(s) and time(s) of violation;
- individual(s) involved in the violation;
- description of the violation;
- reason(s) the violation occurred, and
- if applicable, a statement of actions which have been or will be taken in order to prevent a similar violation from recurring

Things to Keep in Mind During an Investigation....

- Often, because of the nature of the investigation, the DOC cannot inform you, as the head coach, that an investigation is being conducted in your program.
- When being interviewed, you will almost always be tape recorded and you will be asked to review/sign-off on NCAA Bylaw 10.1.
- When you are interviewed by the investigators, you will be instructed to not share any information from the interview with anyone else on your staff.
- You and your program WILL make mistakes and we will report-that's something you will have to accept.



PROMOTIONAL ACTIVITIES

Promotional Activities

- General Rule: 12.5.1.1
 - A university entity, the Pac-12 Conference, or a charitable, educational, or non-profit agency may use a CU student-athlete's name, picture or appearance to support its charitable or educational activities if conditions are met:
 - SA must receive written approval from AD or designee
 - SA cannot miss class
 - Incoming PSAs may not be in attendance

Promotional Activities, cont

- All money derived from the activity must go directly to the University, conference or the CENA
- SAs can receive actual and necessary expenses from CU or CENA related to participation.
- The activity may NOT involved co-sponsorship, advertisement or promotion by a commercial entity (other than the sponsoring company's trademark or logo on printed items)
 - Company's emblem, name, address and number may be included with logo/trademark
 - Personal names, messages and slogans are prohibited.

Promotional Activity Process

- Emily Hahn and Jo Marchi
- Emily is the new Community Outreach Coordinator in Marketing and Promotions in Gate 1.
- Emily will field requests and be liaison for Athletics and requesting entity.
- Jo will review for compliance.
- Emily will work with entity to obtain SAs to participate.
- Each sport needs a Promotional Activity liaison to receive info from Emily and work to get volunteers
- Mission/vision & being selective with events.



IN THE NEWS

Ohio State University

- Student-Athletes accepted extra benefits and preferential treatment.
- From both boosters and local businesses.
- Coach knew about the interaction and did not report it, and also lied when asked about it.

University of Miami

- Both SAs and PSAs were involved.
- Booster allegedly provided extra benefits over many years to multiple athletes.
- Allegedly known by multiple staff members to be providing these benefits.

University of Central Florida

- Received a Letter of Inquiry
 - Outside person helping to recruit for their football and basketball programs
 - Influencing PSAs in their decisions. Unsure of the means of 'influence'



What is a common factor in these cases??

- People outside of the University!
 - CU is responsible for the actions of our coaches, administrators and student-athletes and their interactions with outside entities.
 - Education is key.
 - Ask questions!
 - Refer to compliance should any issues arise.
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QUESTIONS????